

METHOD AND APPARATUS FOR
STREAMING OF DATA

FIELD OF THE INVENTION

5 This invention relates to a method and apparatus for the streaming of data, and in particular to such a method and apparatus that permits multiple users to receive such streaming data with the minimum necessary increase in bandwidth. The invention is particularly useful for the streaming of live video and/or audio data but is not limited thereto.

10 BACKGROUND TO THE INVENTION

Streaming is a method of data transfer in which a client requests a server to send data at a certain speed. Although data streaming can be used for file transfers, it is particularly useful for the transfer of audio and/or video data.

15 Live broadcasts of video and/or audio material over the Internet are becoming increasingly popular and common. Such material may include the output of radio and television stations, or video or audio transmissions of one-off events such as concerts, fashion shows and sporting events. In this context, however, the term "broadcast" is in fact used loosely and inaccurately. What in reality happens is that a person wishing to
20 receive such material will make a request to the appropriate website server and a point-to-point connection is made between the server and the source of the request.

The audio and/or video data is then transmitted from the server to the recipient via the point-to-point connection using streaming technology. Streaming is a just-in-time

09634947.080200
002080 7464E960

technology that allows the server to transmit to the recipient audio and video data with only a small cache of data being stored in the memory of the recipients computer. A number of streaming technologies are known, including the industry standard real-time streaming protocol (RTSP) and a number of proprietary products such as RealPlayer, Microsoft Windows Media Player, and Apple QuickTime.

PRIOR ART

A disadvantage with conventional streaming technology can be seen from a consideration of Fig.1 which illustrates a conventional point-to-point streaming approach. In particular, current streaming techniques use a point-to-point approach that does not scale well with increasing numbers of users. For example, as shown in Fig.1, if multiple users wish to receive the same live event, a separate point-to-point connection is made between the server and each recipient, even if (as in the example of Fig.1) the users are all within the same Intranet gateway and receive the same live data. Large amounts of identical data must be transmitted from the server to each individual recipient.

This is very inefficient and wasteful of bandwidth, and if the server and recipients are located far apart, it is particularly wasteful of international bandwidth which is expensive. Current technologies are also very demanding of the server which must serve each recipient independently. These drawbacks make the Internet "broadcasting" of popular events very difficult, and if an event is popular the load on the Internet can be substantial, causing delays that at best downgrade the quality of the transmission and in severe cases can cause the overload and collapse of the server.

5

10

15

20

page from its own memory store. This is a useful technique when multiple users may wish to view the same webpages (for example a newspaper website) though users have to be aware that they may not be seeing the most up to date version of that page. This technique cannot, however, be applied to live transmissions.

5

SUMMARY OF THE INVENTION

According to the present invention there is provided apparatus for providing streaming data from a server to multiple clients comprising, a gateway located between said server and said clients, wherein said gateway includes:

- 10 (a) means for obtaining streaming data from said server upon receipt of a first request for a stream from any of said clients, and
- (b) means for providing said stream from said gateway to second and subsequent clients requesting said stream.

By means of this arrangement, it is no longer necessary for a separate point-to-
 15 point unicast connection to be made between the server and each client, but instead if a gateway is already supplying one client with a data stream, the gateway can also supply further clients with the same data stream without having to go back to the server.

In a preferred embodiment of the invention the gateway comprises means for maintaining a list of all streams currently being supplied to clients of the gateway, and
 20 means for comparing a request from a client for a stream with the list, the stream being obtained from the server if the requested stream is not on the list and the stream being supplied to the client from the gateway if the requested stream is on the list.

002080" 2464E960

A preferred way of implementing the invention is for the gateway to include software interface means for changing the address type of the data packets of a stream, whereby when it is desired to supply a stream to a client, the data packets input to the gateway are switched to a multicast address type for supply to all the clients of the gateway that are requesting the stream. Preferably means are provided for duplicating
5 said data packets to be supplied to multiple clients by providing a logical multicast loop back, and wherein the time-to-live (TTL) is set to zero. After duplication of the data packets, a second software interface may be provided that changes the address type of the duplicated data packets from multicast back to unicast prior to output to the clients if the
10 clients are in a unicast network, and to a multicast address for a group of clients located in a multicast enabled network.

While the invention as described above provides significant advantages in preventing the need for every different client to have a streaming connection to a server, loading problems may still occur in a network if multiple gateways request the same
15 streaming content from a server. To overcome this problem, a network of gateways may be provided that can share and balance the streaming load.

Viewed from another aspect therefore the present invention provides apparatus for providing streaming data from a server to multiple clients, comprising a plurality of gateways located between said server and said clients, each said client being associated
20 with one said gateway, wherein each said gateway is provided with means for sourcing a data stream from a server or another gateway upon receipt of a first request for a said stream, and means for supplying a second or subsequent client with a data stream already being supplied to a first client, and wherein each said gateway is provided with means for

002030 74642960

deciding upon receipt of a request from a client for a data stream whether said gateway can supply the data stream itself and, if not, for deciding whether a neighbouring gateway exists from which said data stream may be obtained.

Preferably each gateway includes: a list of all neighbouring gateways, a database
5 listing all the data streams currently being supplied by the neighbouring gateways, and a
database of all the streams being supplied by the said gateway. In order for this database
to be updated, preferably each gateway reports to each neighbouring gateway when it
starts to supply a new data stream. In order to provide load balancing, each said gateway
may be provided with means for selecting between two or more possible gateways as the
10 source of a data stream requested by a client. The selecting means preferably selects from
all possible source gateways firstly by eliminating overloaded or maximum loaded
sources and then on the basis of quality of the streams that can be supplied by the
possible source gateways, the loading of the possible source gateways, and the
communication latency between the possible source gateways and the requesting
15 gateway. Preferably therefore each gateway is provided with means for interrogating a
possible source gateway as to stream quality, loading and communication latency.

In a preferred embodiment, to provide optimum results, after eliminating
overloaded or maximum loaded sources, the quality of the streams provided by the
possible source gateways is the first criteria in selecting a source gateway, the source
20 gateway loading is the second criteria to be used in the event of equal stream quality, and
the communication latency is the final criteria if stream quality and source gateway
loading are all equal.

Viewed from another broad aspect the present invention provides a method of providing streaming data from a server to multiple clients comprising, locating a gateway between said server and said clients, obtaining streaming data from said server upon receipt of a first request for a stream from any of said clients, and providing said stream
5 from said gateway to second and subsequent clients requesting said stream.

Viewed from a still further broad aspect the present invention provides a method for providing streaming data from a server to multiple clients, comprising locating a plurality of gateways between said server and said clients, each said client being associated with one said gateway, sourcing a data stream from a server or another
10 gateway in the event that a request for a stream is a first request to a said gateway for a said stream, and supplying a data stream from the said gateway to a second or subsequent client requesting a data stream in the event that said second or subsequent client is requesting a data stream already being supplied to a first client.

Another important aspect of the present invention is the novel software
15 application interface and therefore viewed from yet a further aspect of the present invention there is provided a software interface application in an object-oriented environment comprising means for changing an address type of a data packet from (a) unicast to multicast, or (b) multicast to unicast, or (c) a first multicast address to a second multicast address, or (d) a first unicast address to a second unicast address.

20 Viewed from a yet further aspect of the present invention there is provided a data transmission network comprising a plurality of network domains wherein some of said network domains are unicast domains and the remainder of said domains are multicast domains, said network further comprising a plurality of gateways located at the

09524947 "030700

boundaries and said gateways at least at the boundaries between a unicast domain and a multicast domain being provided with software interface means for changing the address type of a data packet from unicast to multicast or vice versa.

5

BRIEF DESCRIPTION OF THE DRAWINGS

Some embodiments of the invention will now be described by way of example and with reference to the accompanying drawings, in which:

Fig.1 is a schematic view illustrating a conventional streaming approach,

Fig.2 illustrates the concept of a video farm,

10

Figs.3(a) and (b) illustrate the concept of multicasting,

Fig.4 is a schematic view of an embodiment of the invention,

Fig.5 illustrates the concept of a virtual server and a virtual client,

Fig.6 is a flowchart illustrating the operation of the gateway upon receipt of a message from a client,

15

Fig.7 is a block diagram of a conventional method of streaming data to multiple clients,

Fig.8 is a block diagram illustrating an example of the invention for comparison with Fig.7,

Fig.9 illustrates two gateways linked to one server,

20

Fig.10 is a flowchart showing how a network of gateways can function to balance network load,

Fig.11 shows a first example of a network of gateways,

Fig.12 shows a second example of a network of gateways, and

00/080" / 464E 960

Fig.13 illustrates how the present invention can be used to facilitate streaming across unicast and mulitcast domains.

5 DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

Referring firstly to Fig.4 there is shown in general terms the basic structure of the present invention. The present invention is based on the idea of providing an intelligent gateway at the edge of a group of users (such as an Intranet) through which requests for streaming data and the resulting data streams all pass. As will be described in more detail
10 below, when a second or further user within the group requests streaming data from a server that is already being supplied through the gateway to a first user within the group, the gateway recognises that one user is already receiving the streaming data. Instead of passing the request from the second user to the server, the gateway supplies the second user with a copy of the data stream that is passing to the first user. This means that there
15 is only a single stream of data passing from the server to the gateway, and that multiple streams are only required within the group or Intranet where a wide bandwidth is more readily available.

To achieve this design, it is necessary for the gateway to appear to the second and further users within a group as a virtual server, such that these users think they are
20 connected to the server, while at the same time the actual server sees the gateway as a virtual client, whereas in fact the actual clients are the users within the group controlled by the gateway. This concept of the gateway being simultaneously a virtual server and a virtual client is illustrated in Fig.5.

5

10

An example of such a RTSP message may be:

15

Accpet: application/sdp, application/mhég

20

{protocol version} {status code}{reason-phrase} CRLF

{parameters}

A typical response message may therefore be:

RTSP/1.0 200 OK

Cseq: 321

5 In general terms, an RTSP server can use any type of packet format for sending media data to an RTSP client as RTSP is session-oriented. The packet format used to send media data is of little interest to intermediary network devices since all necessary network port information is contained in the RTSP messages. RTSP can therefore support different packet formats such as Standard Real Time Transport Protocol (RTP), and
10 proprietary data transport protocols. The data packets can be transported using multicast user datagram protocol (UDP), unicast UDP, or inline TCP (data interleaved with the RTSP control stream).

Turning to the embodiment of Fig.5, to begin with a first user (C1) within a group may request a particular video or audio stream from a server (S) via the gateway (ISG).

15 This request may appear as follows in RTSP terminology:

C1 → ISG → S DESCRIBE rtsp://live.example.com/concert/audio RTSP/1.0
Cseq: 1

20 This request is then acknowledged by the server to the client through the gateway

S → ISG → C1 RTSP/1.0 200 OK
Content-type: application/sdp
Content-length: 44
25 media format description.....

The client will then issue to the server a SETUP command to the server and will indicate the port to which the streaming data is to be sent:

5 C1 → ISG → S SETUP rtsp://live.example.com/concert/audio RTSP/1.0
 Cseq: 2
 Transport: RTP/AVP/UDP; unicast; client_port=6970-6971;
 mode=play

This will be confirmed by the server which will also allocate an identifying session number:

10 S → ISG → C1 RTSP/1.0 200 OK
 Transport: RTP/AVP/UDP; unicast; client_port=6970-6971;
 mode=play
 Cseq: 2
 Session: 048831

15 Transmissions of the streaming data may now commence and the client will issue a play command to the server via the gateway:

20 C1 → ISG → S PLAY rtsp://live.example.com/concert/audio RTSP/1.0
 Cseq: 3
 Session: 048831

The server will then commence the transmission of the streaming data to the client via the gateway:

25 S → ISG → C1 RTSP/1.0 200 OK
 Cseq: 3
 Session: 048831

30 In this dialogue, the gateway carries out the build-up of the corresponding databases as well as routing messages between the client and the server and to route through the gateway the resulting streaming data. Because the command messages from the first client are forwarded to the server via the gateway, to the server the gateway appears as a client.

00/080" 2464960

However, when a second client (C2) requests the same streaming data from the server (S), it will be seen from the following that the role of the gateway (ISG) changes. In this situation the second client makes the same request as the first client, but the gateway checks a memory store of all data streams currently passing through the gateway and if it finds that the data stream requested by the second client is already being supplied to the first client, the gateway takes over the functions of the server which never in fact sees the command messages of the second client. It will be noted in the following that the same command messages are sent between the second client and the gateway as are sent between the first client and the server via the gateway, thus to the second client the gateway appears as a server:

C2 → ISG DESCRIBE rtsp://live.example.com/concert/audio RTSP/1.0
Cseq: 1

ISG → C2 RTSP/1.0 200 OK
Content-type: application/sdp
Content-length: 44
.....media format description.....

C2 → ISG SETUP rtp://live.example.com/concert/audio RTSP/1.0
CSeq: 2
Transport: RTP/AVP/UDP; unicast; client_port=6990-6991; mode=play

ISG → C2 RTSP/1.0 200 OK
Transport: RTP/AVP/UDP; unicast; client_port=6990-6991; mode=play
CSeq: 2
Session: 048831

C2 → ISG PLAY rtsp://live.example.com/concert/audio RTSP/1.0
CSeq: 3
Session: 048831

ISG → C2 RTSP/1.0 200 OK
CSeq: 3
Session: 048831

Fig.6 is a schematic flowchart showing how the ISG functions in this embodiment of the invention. The ISG listens to streaming messages and requests from its clients and from servers, and in particular listens for requests from a new client. If the message is from a new client the ISG determines whether or not the request is for an existing stream. If not, ie if the request is for a new stream, then an entry is inserted into a table that lists all streams currently being supplied and the request is modified and sent on to the server. If the request is for a stream already entered in the table, ie a stream already being supplied to another client of the ISG, then that stream is located and supplied additionally to the new client in a manner to be described below and the ISG serves as a proxy server.

To implement this algorithm, the ISG may be provided with means for copying the data packets of a stream for which a second or subsequent request has been received, and then for directing the thus copied packets to the second or subsequent client. However, this becomes very burdensome on the processing power of the ISG, especially as the number of clients to be served starts to increase substantially. In practical terms, such a method faces major scalability problems. More preferably therefore, the ISG is provided with means for changing the transport modes of the data packets being transmitted from the server to the client as will be explained below.

In a preferred embodiment of the invention this is achieved by the use of a novel application programming interface (API) – similar to a Berkeley socket - which is an interface object class in an object oriented programming environment. This novel API comprises the following objects and data: a class of input socket, a class of output socket, operation methods, and additional data or buffer. In the present example, however, the

only operation method that is used is that a data packet received from the input socket will be sent out immediately through the output socket.

It will be appreciated that by combining different input and output sockets, four major types of the API can be developed: (a) unicast to multicast (u-to-m) in which an input unicast data packet is output as a multicast packet to a group of users; (b) multicast to multicast (m-to-m) in which an input packet from one group of users may be output as a multicast packet to another group of users; (c) multicast to unicast (m-to-u) in which an input from one group of users is output as a unicast packet to one user; and (d) unicast to unicast (u-to-u) in which an input unicast packet is output as a unicast packet.

Such APIs may be used to implement the present invention, and in particular to provide the required duplication of data streams within the ISG without encountering scalability problems regardless of the number of clients wishing to receive a particular data stream as will now be described. In particular, data packets received by the ISG from a server are unicast data packets. If the ISG determines that these data packets are required by multiple clients who wish to receive the same data stream a first API in u-to-m form is used to change the address of the packets to a unique multicast Class D address that corresponds to the clients who wish to receive the data. The value of Time-To-Live (TTL) is set to zero to avoid the duplicated data packet leaving the ISG and flooding the network served by the ISG. Unicast packets received by a u-to-m API are duplicated by immediately looping the packets back by a logical multicast loop.

At the same time, a group of second APIs are provided each providing an output to a respective client and each of the second APIs being in m-to-u form if the clients are located in a unicast network. These second APIs listen for data packets with the Class D

004080" 2464E960

address assigned by the first API and then transmit any such data packets as unicast packets to their respective clients. It should be noted here that an API in a m-to-u form is used where the clients are located in a unicast network domain, but if the clients are located in a multicast network domain a m-to-m API may be used in which the multicast address of the output packets corresponds to the client group.

Figs.7 and 8 illustrate an example of the invention (Fig.8) in comparison with a conventional arrangement (Fig.7) both of which have been constructed and implemented.

In the conventional arrangement of Fig.7, perfectly acceptable results were obtained with one only client. About 200kbps of bandwidth were consumed at both interfaces of the user. With a second client, however, 400kbps is required at the input interface to the user which exceeds the normal 300kbps bandwidth available and the quality of the streaming data starts to deteriorate at both clients. Naturally this problem becomes even more serious with 3 or more clients.

Fig.8 shows an example of the invention in which an ISG is positioned between the router and the clients. Two different ISGs were tested, one using an Intel Pentium III 500 MHZ with 128Mb Ram, the other using an Intel Pentium 90Mhz and 32Mb RAM. The first of these examples was able to support 200 clients each receiving the same 200kbps data streams simultaneously, even the less powerful second example was still able to support 20 clients.

Although the use of an ISG in accordance with an embodiment of the present invention is capable of reducing the bandwidth requirement by needing only a single stream from the server to the ISG in order to be able to serve all clients within the ambit of the ISG, for very popular streaming transmissions bandwidth and scalability problems

can still occur when multiple ISGs, each serving different groups of clients, each makes requests to a server for a data stream in order to meet the requests of clients within the groups served by the ISGs. This situation is illustrated in Fig.9. While Fig.9 shows the server serving only two ISGs - a situation which might be quite acceptable - problems with bandwidth (and especially international bandwidth) may once again occur when large numbers of ISGs make the same request.

A further embodiment of the invention results from the observation that since an ISG appears to its clients as a proxy server, an ISG can also act as a proxy server to another ISG. An ISG is therefore not obliged to obtain the data stream directly from the server, but could obtain the data stream from another ISG. In a preferred embodiment of the invention, therefore, a distributed dynamic load-balancing protocol may be developed in which an ISG does not necessarily obtain the data stream but may instead obtain the data stream from another ISG, the choice of whether to request the server or another ISG (and in that case, which ISG) being dependent on the loading of the server and the ISGs as will be described below.

In this embodiment of the invention, when an ISG is added to a network, a list of all neighbouring ISGs is included in the ISG with the IP addresses of each ISG. This information may be entered manually during a set-up procedure. Each ISG also establishes an availability database that indicates which data streams are already available from which ISGs. Such a database may be of the following form:

| Content URL | Possible Source 1 | Possible Source 2 | Possible Source 3 |
|--|-------------------|-------------------|-------------------|
| rtsp://live.example.com/concert/audio.rm | 143.89.14.111 | | |
| rtsp://live.example.com/concert/video.rm | 143.89.14.101 | 143.89.14.231 | |

| | | | |
|-------|-------|-------|-------|
| | | | |
| | | | |

In this example it will be seen that for `rtsp://live.example.com/concert/audio.rm` there is only one possible source (in addition to the server) for that stream, which is an ISG having the location 143.89.14.111. For data stream `rtsp://live.example.com/concert/video.rm` there are two possible sources, the ISGs with addresses 143.89.14.101 and 143.89.14.231.

A further database keeps track of the connections corresponding to the various data streams passing through an ISG, and in particular to the Class D multicast address given to each stream by the u-to-m API in the ISG that is handling the stream. For example, this database may appear as:

| Content URL | Multicast address |
|---|-------------------|
| <code>rtsp://live.example.com/concert/audio.rm</code> | 225.3.2.1 |
| <code>rtsp://live.example.com/concert/video.rm</code> | 225.3.2.3 |
| | |

A connection counter also keeps track of the total number of streams passing through an ISG at any time.

These databases located in each ISG are constantly updated by all the other neighbouring ISGs by means of a report protocol as follows. When each ISG receives the first packet of a particular streaming content, it informs all neighbouring ISGs with a

The REPORT message is in the format “REPORT URL, IP”, where URL is the absolute RTSP URL address of the streaming content, and IP is the IP address of the ISG generating the report message. When a REPORT message is received by a neighbouring

The availability database allows an ISG to know from where it may be able to obtain a streaming content when a request is received from one of the clients of that ISG for a data stream that is not already being handled by that ISG. However, for the load balancing protocol of this embodiment to be implemented, an algorithm must be devised to enable an ISG to make a decision on which source of a streaming content the ISG should use. Three factors are used in this algorithm.

1. The loading ratio of an ISG which is defined as the number of existing connections/the maximum number of possible connections.
2. A quality index representing the quality of any streaming content being received by an ISG. This is the ratio of the actual bit rate observed to expected bit rate of a particular streaming content.
3. The communication latency between two ISGs.

Each ISG sends a QUERY message to each neighbouring ISG to query the value of these factors in the form **QUERY URL** and an ISG receiving such a query replies immediately with the values of the three factors 1 to 3 listed above with the query reply **QUERYRET URL, load, quality, latency** and the results are used as the basis of the decision of from where should an ISG source a streaming content using the algorithm illustrated in the flowchart of Fig.10.

As shown in Fig.10, when an ISG receives a request for streaming content, the first step is to determine whether that streaming content exists within the ISG. If it does, then of course the ISG simply adds the new client to the group receiving the streaming content in the manner described above. If not, the ISG determines whether the streaming content server is in fact in the same network domain as the ISG. If the answer to this is yes, then the ISG can simply obtain the streaming content directly from the server. If the answer is no, however, then the ISG checks the availability database to determine whether the stream is available from any neighbouring ISG. If it is, then the ISG makes a request from the possible sources by eliminating sources that are already overloaded, finding an ISG with a quality index of 1 (or the nearest to 1), and there are more than one neighbouring ISGs with a quality index of 1, the one with the best loading is chosen, and the minimum latency is used to further differentiate between possible sources if necessary.

Fig.11 shows a simple example of how this embodiment can work. In this example, there is one server, four ISGs and eight clients. There is only one stream from the server which goes to a first ISG. That first ISG serves no clients of its own but supplies the streaming content to second and third ISGs. The second and third ISGs both serve three clients of their own, while the third ISG also serves a fourth ISG that has two clients. This embodiment allows a distributed load-balanced duplication of a single streaming content. Fig.12 shows another example of this embodiment in which multiple ISGs form a content delivery network. In the example of Fig.12 the media server can supply streaming content to both Buildings A and B in the most effective manner with only one streaming content being transmitted by the media server itself.

By means of an embodiment of the present invention, streaming across unicast and multicast domains is possible because the ISGs located at the boundaries of the different domains can be set such that the APIs within the ISGs convert the packet types

from unicast to multicast and vice versa using u-to-m and m-to-u APIs where appropriate. This is shown in Fig.13 in which streaming content is transmitted through a network of ISGs that extends across both unicast and multicast network domains. At the boundary between a unicast and a multicast network a u-to-m API is used to change the data packets from unicast to multicast, while at the boundary between a multicast domain network and a unicast domain network a m-to-u API is used to change the data packets from multicast to unicast. No change is required of course at the boundary of two unicast or two multicast domains, in general, but a multicast address of one multicast group can be changed to a multicast address of another group if desired.